## SENATE BILL 5785

State of Washington 64th Legislature 2015 Regular Session

By Senators Rivers, Nelson, Dansel, Hatfield, Pearson, Fain, Liias, and Hobbs

Read first time 02/02/15. Referred to Committee on Government Operations & Security.

- AN ACT Relating to the definition of official duties of state officers; reenacting and amending RCW 42.52.010; and adding a new section to chapter 43.01 RCW
- 3 section to chapter 43.01 RCW.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 5 **Sec. 1.** RCW 42.52.010 and 2011 c 60 s 28 are each reenacted and 6 amended to read as follows:
  - Unless the context clearly requires otherwise, the definitions in this section apply throughout this chapter.
- 9 (1) "Agency" means any state board, commission, bureau, 10 committee, department, institution, division, or tribunal in the
- 11 legislative, executive, or judicial branch of state government.
- 12 "Agency" includes all elective offices, the state legislature, those
- institutions of higher education created and supported by the state government, and those courts that are parts of state government.
- 15 (2) "Assist" means to act, or offer or agree to act, in such a
- 16 way as to help, aid, advise, furnish information to, or otherwise
- provide assistance to another person, believing that the action is of
- 18 help, aid, advice, or assistance to the person and with intent so to
- 19 assist such person.

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20 (3) "Beneficial interest" has the meaning ascribed to it under 21 the Washington case law. However, an ownership interest in a mutual

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fund or similar investment pooling fund in which the owner has no management powers does not constitute a beneficial interest in the entities in which the fund or pool invests.

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- (4) "Compensation" means anything of economic value, however designated, that is paid, loaned, granted, or transferred, or to be paid, loaned, granted, or transferred for, or in consideration of, personal services to any person.
- 8 (5) "Confidential information" means (a) specific information, 9 rather than generalized knowledge, that is not available to the 10 general public on request or (b) information made confidential by 11 law.
  - (6) "Contract" or "grant" means an agreement between two or more persons that creates an obligation to do or not to do a particular thing. "Contract" or "grant" includes, but is not limited to, an employment contract, a lease, a license, a purchase agreement, or a sales agreement.
- 17 (7) "Ethics boards" means the commission on judicial conduct, the legislative ethics board, and the executive ethics board.
- 19 (8) "Family" has the same meaning as "immediate family" in RCW 20 42.17A.005.
- 21 (9) "Gift" means anything of economic value for which no 22 consideration is given. "Gift" does not include:
  - (a) Items from family members or friends where it is clear beyond a reasonable doubt that the gift was not made as part of any design to gain or maintain influence in the agency of which the recipient is an officer or employee;
  - (b) Items related to the outside business of the recipient that are customary and not related to the recipient's performance of official duties;
- 30 (c) Items exchanged among officials and employees or a social 31 event hosted or sponsored by a state officer or state employee for 32 coworkers;
  - (d) Payments by a governmental or nongovernmental entity of reasonable expenses incurred in connection with a speech, presentation, appearance, or trade mission made in an official capacity. As used in this subsection, "reasonable expenses" are limited to travel, lodging, and subsistence expenses incurred the day before through the day after the event;
- 39 (e) Items a state officer or state employee is authorized by law 40 to accept;

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(f) Payment of enrollment and course fees and reasonable travel expenses attributable to attending seminars and educational programs sponsored by a bona fide governmental or nonprofit professional, educational, trade, or charitable association or institution. As used in this subsection, "reasonable expenses" are limited to travel, lodging, and subsistence expenses incurred the day before through the day after the event;

- (g) Items returned by the recipient to the donor within thirty days of receipt or donated to a charitable organization within thirty days of receipt;
  - (h) Campaign contributions reported under chapter 42.17A RCW;
  - (i) Discounts available to an individual as a member of an employee group, occupation, or similar broad-based group; and
  - (j) Awards, prizes, scholarships, or other items provided in recognition of academic or scientific achievement.
  - (10) "Head of agency" means the chief executive officer of an agency. In the case of an agency headed by a commission, board, committee, or other body consisting of more than one natural person, agency head means the person or board authorized to appoint agency employees and regulate their conduct.
  - (11) "Honorarium" means money or thing of value offered to a state officer or state employee for a speech, appearance, article, or similar item or activity in connection with the state officer's or state employee's official role.
  - (12) "Official duty" means those duties ((within the specific scope of employment of the state officer or state employee as defined by the officer's or employee's agency or by statute or the state Constitution)) prescribed in the state Constitution and state statutes, legislatively funded or mandated authority and responsibilities, job description, or any tasks or actions related to carrying out the activities of the state officer's position that are intended to protect, promote, educate, and serve the citizens of the state of Washington.
  - (13) "Participate" means to participate in state action or a proceeding personally and substantially as a state officer or state employee, through approval, disapproval, decision, recommendation, the rendering of advice, investigation, or otherwise but does not include preparation, consideration, or enactment of legislation or the performance of legislative duties.

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1 (14) "Person" means any individual, partnership, association, 2 corporation, firm, institution, or other entity, whether or not 3 operated for profit.

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- (15) "Regulatory agency" means any state board, commission, department, or officer, except those in the legislative or judicial branches, authorized by law to conduct adjudicative proceedings, issue permits or licenses, or to control or affect interests of identified persons.
- 9 (16) "Responsibility" in connection with a transaction involving 10 the state, means the direct administrative or operating authority, 11 whether intermediate or final, and either exercisable alone or 12 through subordinates, effectively to approve, disapprove, or 13 otherwise direct state action in respect of such transaction.
- 14 (17) "State action" means any action on the part of an agency, 15 including, but not limited to:
  - (a) A decision, determination, finding, ruling, or order; and
  - (b) A grant, payment, award, license, contract, transaction, sanction, or approval, or the denial thereof, or failure to act with respect to a decision, determination, finding, ruling, or order.
  - (18) "State employee" means an individual who is employed by an agency in any branch of state government. For purposes of this chapter, employees of the superior courts are not state officers or state employees.
  - (19) "State officer" means every person holding a position of public trust in or under an executive, legislative, or judicial office of the state. "State officer" includes judges of the superior court, judges of the court of appeals, justices of the supreme court, members of the legislature together with the secretary of the senate and the chief clerk of the house of representatives, holders of elective offices in the executive branch of state government, chief executive officers of state agencies, members of boards, commissions, or committees with authority over one or more state agencies or institutions, and employees of the state who are engaged in supervisory, policy-making, or policy-enforcing work. For the purposes of this chapter, "state officer" also includes any person exercising or undertaking to exercise the powers or functions of a state officer.
- 38 (20) "Thing of economic value," in addition to its ordinary 39 meaning, includes:

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- 1 (a) A loan, property interest, interest in a contract or other 2 chose in action, and employment or another arrangement involving a 3 right to compensation;
- 4 (b) An option, irrespective of the conditions to the exercise of the option; and
- 6 (c) A promise or undertaking for the present or future delivery 7 or procurement.
  - (21)(a) "Transaction involving the state" means a proceeding, application, submission, request for a ruling or other determination, contract, claim, case, or other similar matter that the state officer, state employee, or former state officer or state employee in question believes, or has reason to believe:
    - (i) Is, or will be, the subject of state action; or

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- 14 (ii) Is one to which the state is or will be a party; or
- 15 (iii) Is one in which the state has a direct and substantial proprietary interest.
  - (b) "Transaction involving the state" does not include the following: Preparation, consideration, or enactment of legislation, including appropriation of moneys in a budget, or the performance of legislative duties by an officer or employee; or a claim, case, lawsuit, or similar matter if the officer or employee did not participate in the underlying transaction involving the state that is the basis for the claim, case, or lawsuit.
- (22) "University" includes "state universities" and "regional universities" as defined in RCW 28B.10.016 and also includes any research or technology institute affiliated with a university, including without limitation, the Spokane intercollegiate research and technology institute and the Washington technology center.
  - (23) "University research employee" means a state officer or state employee employed by a university, but only to the extent the state officer or state employee is engaged in research, technology transfer, approved consulting activities related to research and technology transfer, or other incidental activities.
- NEW SECTION. Sec. 2. A new section is added to chapter 43.01 RCW to read as follows:
- Unless the context clearly requires otherwise, "official duty"
  means those duties prescribed in the state Constitution and state
  statutes, legislatively funded or mandated authority and
  responsibilities, job description, or any tasks or actions related to

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- 1 carrying out the activities of the state officer's position that are
- 2 intended to protect, promote, educate, and serve the citizens of the
- 3 state of Washington.

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